



*Dep. Chief  
Room 307*

PATENT  
6057/61524

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Ted Richards  
Serial No. : 09/550,306  
Filing Date : April 14, 2000  
For : RESPONDING TO REQUESTS FOR DATA  
Group A.U. : Not Yet Known  
Examiner : Not Yet Known

I hereby certify that this paper is being deposited this date with the  
U.S. Postal Service in first class mail addressed to: Assistant  
Commissioner for Patents, Washington, D.C. 20231.

*Donald S. Dowden*  
Donald S. Dowden Date  
Reg. No. 20,701 June 22, 2000

June 22, 2000  
1185 Avenue of the Americas  
New York, New York 10036  
(212) 278-0400

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**REQUEST FOR REFUND**

It appears that the filing fee in the amount of \$471.00 was paid twice by mistake. We are enclosing herewith a copy of canceled check No. P41657 payable to the Commissioner of Patents and Trademarks in the amount of \$471.00 for file 6057/61524 and documentation dated April 28, 2000, showing the same charges (codes 101, 102 and 103) to our deposit account no. 03-3125.

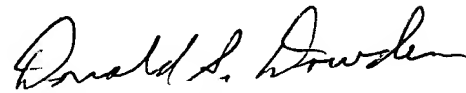
2000 JUN 30 PM 5:09

RECEIPT ACCOUNTING  
DIVISION

PATENT  
6057/61524

It is respectfully requested that our deposit account No. 03-3125 be credited with a refund  
in the amount \$471.00.

Respectfully requested,  
COOPER & DUNHAM LLP

A handwritten signature in cursive script, appearing to read "Donald S. Dowden".

Donald S. Dowden  
Reg. No. 20,701

DSD:jf  
Encls.

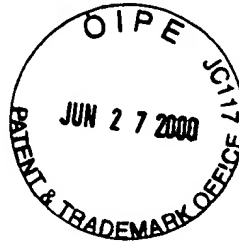


UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

MONTHLY STATEMENT  
OF DEPOSIT ACCOUNT

To replenish your Deposit Account, detach and return top portion with your check. Make check payable to Commissioner of Patents & Trademarks.

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231



Account No.	033125
Date	4-28-00
Page	2

COOPER & DUNHAM COMPANY LLP

FINA

1185 AVENUE OF THE AMERICAS

NEW YORK NY 10036

PLEASE SEND REMITTANCES TO:  
Patent and Trademark Office  
P.O. Box 70541  
Chicago, Ill. 60673

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
4	11	00	34	08310259	43846-A/JPW/	561	39.00	30467.00
4	13	00	1	09127175	2271/57073	102	312.00	30155.00
4	14	00	62	09263981	51950-A-PCT/	581	40.00	30115.00
4	14	00	217	08881643	2710/54123	216	190.00	29925.00
4	14	00	262	60129020	59128-CCD	566	15.00	29910.00
4	17	00	4	07743332	39835-PCT	148	110.00	29800.00
4	17	00	69	4787295	27108CCD	581	40.00	29760.00
4	17	00	365	PCT/US00/00891	1861/58004-PCT	704	-15.00	29775.00
4	17	00	436	PCT/US00/06503	06503-PCT	704	-28.00	29803.00
4	18	00	113	6004808		568	-750.00	30553.00
4	18	00	114	6004808	1919/60357	568	150.00	30403.00
4	18	00	115	5284746	1919/60357	568	150.00	30253.00
4	18	00	116	5846819	1919/60357	568	150.00	30103.00
4	18	00	168	09513119	61405CCD	581	40.00	30063.00
4	19	00	1	08894295	33654-D-PCT	215	55.00	30008.00
4	19	00	12	09081905	2710/56449	115	110.00	29898.00
4	19	00	79	09459761	0456/59553	704	-350.00	30248.00
4	20	00	85	PCT/US99/21654	0574551-PCT	704	-9.00	30257.00
4	24	00	48	08923348	2271/54568	561	36.00	30221.00
4	24	00	94	08731745	55744857	704	-100.00	30321.00
4	25	00	36	09549003	61793/JPW/DV	581	40.00	30281.00
4	25	00	76	E-REPLENISHMENT		701	-6796.00	37077.00
4	25	00	96	08970143	227154519	561	36.00	37041.00
4	25	00	221	PCTUS9931231	56523-B-PCT/	581	40.00	37001.00
4	25	00	222	PCTUS9931231	2661231-PCT	581	40.00	36961.00
4	26	00	4	09183688	45185-AZ/	561	-39.00	37000.00
4	26	00	5	09183688	05744581-AZ	704	-605.00	37605.00
4	26	00	6	09183688	05744581-AZ	142	1210.00	36395.00
4	26	00	7	09183688		561	39.00	36356.00
4	26	00	11	08790963	50130-B/JPW	122	130.00	36226.00
4	26	00	33	09550921	61822/RSM	101	345.00	35881.00
4	26	00	98	09550306	6057/61524	101	219.00	35662.00
4	26	00	100	09550306	6057/61524	102	234.00	35428.00
4	26	00	101	09550306	6057/61524	103	18.00	35410.00
4	26	00	147	09444321	59544/JPW/PJ	205	65.00	35345.00
4	26	00	277	09540487	2710/61684	581	40.00	35305.00

AN AMOUNT SUFFICIENT TO  
COVER ALL SERVICES REQUESTED  
MUST ALWAYS BE ON DEPOSIT.

OPENING BALANCE

TOTAL CHARGES

TOTAL CREDITS

CLOSING BALANCE

ENTERED MAY

2000



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

July 25, 2000

Donald S. Dowden  
Cooper & Dunham LLP  
1185 Avenue of the Americas  
New York, NY 10036

Dear Attny. Dowden:

We regret to inform you that your refund request dated 06/27/00 for the amount of \$ 471.00 application number 09/550306 cannot be granted for the reason specified below.

- ☐ Refund based upon subsequent establishment of small entity status: A refund based on establishment of small entity status may be granted only if a **signed** statement under 37 CFR 1.27 and a request for refund of the excess amount are filed within two months of payment of the fee (37 CFR 1.28). This two-month period for establishing small entity status and requesting has expired.
- ☐ Refund of application filing or petition fee: Filing fees paid for an application that is entitled to a filing date and required petition fees are not fees paid by mistake or in excess. If an application is **not** entitled to a filing date and proceedings are terminated on the application, any filing fees (less the \$130 handling fee) will be refunded (37 CFR 1.53(e)(3)).
- ☒ Refund of overpayment: There was no overpayment made by applicant. All fees were calculated and assessed properly. **\*\*See below\*\***
- ☐ The payment for which the refund is requested has not been applied to the application. The payment check was returned to applicant for the following reason:
  - ☐ Not filled out properly. (See attached copy of notice.)
  - ☐ Not made payable in U.S. funds.
  - ☐ No explanation was given as to purpose.
  - ☐ Payment was previously received and applied by Office.
  - ☐ Check was returned by bank for insufficient funds.

**\*\*Any request for reconsideration or review of this decision must be by way of a petition filed within two months of this decision, which decision must set forth with particularity why a refund is due (see 37 CFR 1.181(b) and (f)).**

If there are any further questions, please contact Customer Service at (703) 308-1202.

Sincerely,

Deborah Dotson

**ATTENTION ATTENTION ATTENTION**

Date Processed

*Donna #3*

*OTPE*

*0300*

☐

**ACH Payment**

Attached Is The Most Current ACH Information

☐

**Treasury Check**

The Most Current ACH Information Was  
Requested From This Customer With The Purpose Of  
Processing His Refund Request By Electronic Fund  
Transfer (EFT). No EFT Information Was Received.

Therefore, If Customer Is Due A Refund;

**A Check Will Be Issued.**

☒

**Credit To Deposit Account**

*09/550306*

This Request for Refund Will Be Processed By Crediting Deposit  
Account Number 03-3125, If Customer Is Due A Refund.

**ATTENTION ATTENTION ATTENTION**



7 0212-0400-5 7  
 07082324

PATENT AND TRADEMARK OFFICE  
 13-10-0001  
 04-26-2000  
 U.S. TREASURY  
 FOR CREDIT TO THE

021001088  
 HSBC BANK USA  
 04/28/04

05605848

COOPER & DUNHAM LLP  
 PTO ACCOUNT  
 1185 AVENUE OF THE AMERICAS  
 NEW YORK, NY 10036

REMITTANCE ADVISE	
6057	61524

HSBC  
 HSBC Bank USA

P 41657

1-108  
 210

242136-11-99

PAY	DATE
4/1/00	4/1/00
TO THE ORDER OF	
COMMISSIONER OF PATENTS AND TRADEMARKS	

CHECK AMOUNT
471.00

DOLLARS

⑈041657⑈ ⑆021001088⑆ 0111177924⑈

⑈0000047100⑈